

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 21146 US (C038435/0178278)	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5 Not yet assigned) 10/505396	
INTERNATIONAL APPLICATION NO. PCT/EP03/01473		INTERNATIONAL FILING DATE 14 February 2003		PRIORITY DATE CLAIMED 22 February 2002	
TITLE OF INVENTION ENONE REDUCTASE					
APPLICANT(S) FOR DO/EO/US Michihiko KATAOKA and Sakayu SHIMIZU					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. <input checked="" type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Copy of the International Search Report (5 pp.); Copy of the International Preliminary Examination Report (8 pp.); Certificate of Express Mailing (1 p.); and Return Postcard. 					

10/505396

DT03 PCT/PTO 20 AUG 2004

Docket No. 21146 US C038435/0178278

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS
DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION
TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

In re International Application of:)
Michihiko KATAOKA and Sakayu SHIMIZU)
Based on Int'l Application No.: PCT/EP03/01473) Examiner: Not yet assigned
International Filing Date: 14 February 2003) Art Unit: Not yet assigned
Filed: Herewith)
For: ENONE REDUCTASE)

New York, New York
August 20, 2004

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: ER 930263861 US
Date of Deposit: August 20, 2004

I hereby certify that the following:

- [x] Certificate of Express Mailing (1 p)
- [x] Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)
Concerning A Filing Under 35 U.S.C. § 371 (2 pp) in duplicate
- [x] Copy of International Application as filed (14 pp)
- [x] Amendments to the Claims of the International Application (2 pp)
- [x] Combined Declaration For Patent And Power of Attorney (3 pp) (executed)
- [x] Information Disclosure Statement with PTO-1449 (5 pp, including duplicate p 3) and
copies of references
- [x] Two (2) Recordation Form Cover Sheets and copies of Assignments (6 pp)
- [x] Preliminary Amendment (9 pp, including duplicate p 1)
- [x] Copy of published international application WO 03/070924 A1 (15 pp)
- [x] Copy of International Search Report (5 pp)
- [x] Copy of International Preliminary Examination Report (8 pp)
- [x] Check for \$1,000.00 (National Filing Fee and Assignment Recordation Fee)
- [x] Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Marvin Chisholm
Signature of Person Making Deposit

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